

State-Uttar Pradesh

A. General Observation:

- **Financial Progress:** Audited UCs required upto 2015-16, along with audit report and year-wise physical / financial progress report. Provisional UCs required for 2016-17 against the expenditure incurred.
- **GPDP Status in Plan Plus:** During the year 2016-17 total no. of 59009 GPDP and 2017-18 & 8649 GPDP out of 59021 GPs have been approved and uploaded in Plan Plus. State has been advised to upload their approved GPDP on Plan Plus.
- **CB-Carry Over activity (2016-17):** Two carry over activity of CB&T i.e. 2 days orientation for newly ERs about GPDP & PR System (**GPDP Training**) and Training of block level computer operators on PES application at district level (**other than GPDP Training**) was also presented by State and approved by CEC.
- State has proposed one day State level orientation of District Magistrates on GPDP which was appreciated by the Chairman of the Committee and asked other States to replicate such trainings in their States also.
- **Innovative Training Programme:** CEC emphasized that all major states may organize innovative training programme for Sarpanches and Panchayat functionaries on the lines of programme organized by MoPR in Siri Fort during Dec, 2016. This exercise is aimed at prioritizing the training and capacity building of participants so that they are able to plan and utilize the enhanced flow of resources available at GP level in an effective manner. This training programme will give Sarpanches an opportunity to share their experiences and showcase their best practices. The state was requested to organize at least one such program before Sept 2017 and inform the Ministry in this regard.
- **Innovative activity under RGSA:** Three activities i.e. Study on Human Development Index (HDI), Initiation of Social audit process and Performance Audit of 10 district from third party was proposed under CB&T (other than GPDP) by the State Government however, these activities were considered under Innovative activities. State was advised to undertake detailed consultations before undertaking the activity, including with Karnataka that has developed HDI.
- **"Social Audit process in GPs"** was approved by CEC for Rs 8.25 Lacs. State Government has informed that within the approved cost of Rs. 8.25 they will conducted initially in 300 GPs @ Rs 2,750 per GP on pilot basis and revert to CEC with the detailed report.

B. Budget Summary (2017-18)

Sl. No.	Component	Amount approved by CEC (Rs. in lakh)	Decision of CEC
1	CB- Carryover activity(2016-17)		
a	2 days orientation for newly ERs about GPDP & PR System (GPDP Training)	4502.02	Approved
b	Training of block level computer operators on PES application at district level (other than GPDP Training)	73.89	Approved
2	CB-GPDP Training (New- 2017-18)	4057.8	Approved
3	CB-other than GPDP Training (New- 2017-18)	740.69	Approved
4	Institutional Structure		
a	Construction of 08 DPRC	1600	Approved
b	Recurring cost of 25 DPRC	250	Approved
5	Innovative activity		
a	Human Development Index (HDI)	5	Approved
b	Social Audit	8.25	Approved
c	Performance Audit	25	Approved
6	Technical Support under e.governance	609.2	Approved
	Total	11871.85	
7	IEC (1% of the Project cost)	118.7185	Approved
8	PMU (5% of the Project cost)	593.5925	Approved
	Grand Total	12584.16	

C. Detailed activity approved in Annual Appraisal of 2017-18

Sl.No.	Activity	Annual Plan Proposal 2017-18				Decision of CEC
		Unit	Day	Rate	Total	
A	CB- GPDP training (carry over activity) 2016-17					
1	2 days orientation for newly ERs about GPDP & PR system	450202	2	500	4502.02	Approved
B	CB- other than GPDP training (carry over activity) 2016-17					
1	Training of block level computer operators on PES application at district level	1642	6	750	73.89	Approved
C	CB- GPDP training (New activity 2017-18)					
1	Field Functionaries of RD/PR One day refresher training of Secretaries at district level on	12000	1	750	90.0	Approved

	GPDP					
2	Two days State level training of all DDs and DPROs at State level on GPDP and integration of SDG in GPDP	120	2	1850	4.44	Approved
3	One day refresher training of block functionaries (BDOs & ADOs) for GPDP	1642	1	750	12.31	Approved
4	Training of PPT/WG/TF- (For involving GP active members in GPDP planning 2 days block level training of GP active members like Retd. Teacher, natural leaders, SHG members etc. These active members will PPT members of the GP) 5 active members from every GP i.e. 5 x 59073 = 295365	295365	2	500	2953.65	Approved
5	State level refresher ToT of Master/trainers for GPDP	300	4	1850	22.20	Approved
6	State level refresher training of SRG members on GPDP	150	2	1850	5.55	Approved
7	State level one day orientation of District Magistrates on GPDP	75	1	1850	1.38	Approved
8	State level one day orientation of CDOs on GPDP	75	1	1850	1.38	Approved
9	Training of District level Resource Group members 500 members from each district= 500x75=37,500	37500	3	750	843.75	Approved
10	CB for convergence <ul style="list-style-type: none"> • Consultative workshops • Joint trainings (specify subject areas of convergence) 	80	1	1850	1.48	Approved

	One day State level Consultative workshop with Education Institutions and Universities for conforming role in GPDP plan preparation					
11	One day experience sharing workshop with DDs & DPROs at State level	120	1	1850	2.22	Approved
12	One day District level experience sharing workshop with Functionaries/ Media/ political leaders etc. on GPDP. Approx. 80 participants in each workshop. 75x80=6000	6000	1	750	45.0	Approved
13	Two days refresher training of Division/District Programme Manager on GPDP and Integration of SDG in GPDP	120	2	1850	4.44	Approved
14	Development of Training Modules (Upto 5 lakh per State/ per year)	4		5.0	5.0	Approved
15	Development of Training Material including film and electronic material (Upto 10 lakh per State/ per year)	3		10	10.0	Approved
16	Evaluation of training (Upto 5 lakh per State/ per year) Evaluation of GPDP training	1			5.0	Approved
17	Exposure visits outside State Five exposure visit having 20members in every batch	100	5	10000	50.00	Approved
	Total				4057.8	
D	CB- other than GPDP training (New activity 2017-18)					

1	President/sarpanch of Gram Panchayat Block level one day Orientation training of Gram Pradhan on Promoting Demonetization (Cashless Transaction)	59073	1	500	295.36	Approved
2	Panchayat secretary Block level one day Orientation training of Secretary on Promoting Demonetization (Cashless Transaction)	12000	1	500	60.0	Approved
3	TOTs for Resource Person/Master Trainer State Level Two days orientation training of MTs on Promoting Demonetization (Cashless Transaction) and development of Model Panchayat	300	2	1850	11.10	Approved
4	One day orientation of Division/District Programme Manager State level training on implementation of PES Application software- TMP (Training Management Portal)	120	1	1850	2.22	Approved
5	State level ToT of Master trainers on Gender-women issues and empowerment and OSR (4 Master trainers from each district) State level training on Gender-women issues and empowerment and OSR	300	3	1850	16.65	Approved
6	Block level training programme for Gram Pradhan	59073	1	500	295.36	Approved

	Block Level training on improving Own Source of Revenue (OSR)					
7	Block level training programme for Secretary Block Level training on improving Own Source of Revenue (OSR)	12000	1	500	60.0	Approved
	Total				740.69	
E	Institutional Structure (New activity 2017-18)					
1	Construction of DPRCs	8	--	@ 2cr each DPRC	1600	Approved. State requested for 15 DPRCs, CEC approved 8 DPRC
F	Recurring cost 25 DPRCs (Rs. 10 lakh per annum/per DPRC permissible)					
1	Rent	25	12 month	25000		Approved. Requested for Rs 270 lakh however, Rs. 250 approved as per norm for 25 DPRC
2	2 faculty	25 DPRC x2	12 month	20000		
3	DEO	25	12 month	15000		
4	Peon	25	12 month	10000		
	Total				250	approved
G	Innovative Activity					
1	Study on Human Development Index	1			5	Approved
2	Initiation of Social audit process in 30,000 GPs	30000		2750	8.25	Approved
3	Performance Audit of 10 district from third party	5	-	-	25	State requested for Rs. 1 cr. under the component for 10 districts. CEC approved Rs. 25 lakh for 5 district
	Total				38.25	

H.(i)	Technical Support under e.governance					
a	Technical Consultant	4	12 month		140	Approved
H.(ii)	E. gov. Unit					
a	DEO	1	12 month			Approved
b	Peon	1	12 month			Approved
H.iii)	Help Desk Unit at State Level					
a	Help Desk Executive	4	12 month	35000	16.8	Approved
b	Office Assistant	2	12 month	25000	6.0	Approved
H.iv)	E. Governance Unit at Distrcit					
a	1 DPM per Division	18	12 month	40000	86.40	Approved
b	1 DPM per district	75	12 month	40000	360	Approved
	Total				609.2	
Grand Total of components A+B+C+D+E+F+G+H					11871.85	
I	IEC (1% of the Project cost)				118.7185	Approved
J	PMU (5% of the Project cost)				593.5925	Approved
Total Approved cost					12584.16	